



PATENT

2182
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SP
10-27-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William J. Armstrong et al. : Date: October 16, 2003
Group Art Unit: 2182 : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: 09/838,057 : Dept. 917, Bldg. 006-1
Filed: April 19, 2001 : 3605 Highway 52 North
Title: METHOD AND APPARATUS FOR : Rochester, MN 55901
ALLOCATING PROCESSOR
RESOURCES IN A LOGICALLY
PARTITIONED COMPUTER
SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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OCT 22 2003

Technology Center 2100

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Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/838,057

Docket No. ROC920000252US1

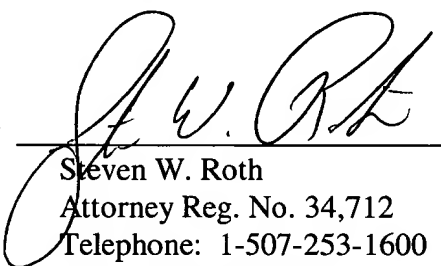
- 1 -

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The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

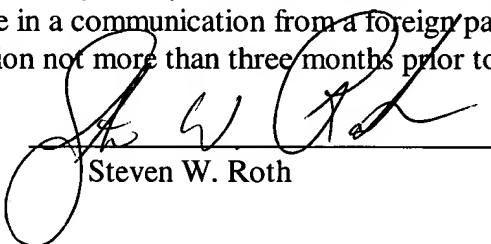
This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

By 
Steven W. Roth
Attorney Reg. No. 34,712
Telephone: 1-507-253-1600
Fax: 1-507-253-2382

CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.


Steven W. Roth

October 16, 2003

Date

PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 2

OCT 20 2003

Application Number.: 09/838,057
 Filing Date: April 19, 2001
 First Named Inventor: Armstrong et al.
 Art Unit: 2823
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000252US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,692,193	11-25-1997	Jagannathan et al.	
		US -			
		US -			
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

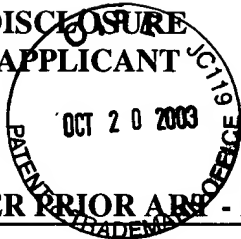
⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

Application Number.: 09/838,057
 Filing Date: April 19, 2001
 First Named Inventor: Armstrong et al.
 Art Unit: 2182
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000252US1

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

Sheet 2 of 2


OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T ²
		DAVID L. BLACK, "Scheduling Support for Concurrency and Parallelism in the Mach Operating System," Computer, IEEE Computer Society, vol. 23, no. 5, May 1, 1990, pp. 35-43.	
		T. L. BORDEN et al., "Multiple Operating Systems on One Processor Complex," IBM Systems Journal, vol. 28, no.1, 1989, pp. 104-122.	
		SHIGEKAZU INOHARA et al., "A Thread Facility Based on User/Kernel Cooperation in the XERO Operating System," Computer Software and Applications Conference, 1991, September 11, 1991, pp. 398-405.	
		<div style="text-align: right;"> RECEIVED OCT 22 2003 Technology Center 2100 </div>	

Examiner Signature		Date Considered	
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